

Acct#: \_\_\_\_\_ Office: \_\_\_\_\_ Rep ID: \_\_\_\_\_ Filing Name: \_\_\_\_\_

# ***Polar Investment Counsel, Inc.***

Brokers and Investment Advisors; Member NASD, NFA, MSRB, SIPC  
Website: www.polarinvest1.com

## **ORDER EXECUTION COMPLAINT**

**TRADE DATE:** \_\_\_\_\_

**ACCOUNT NUMBER:** \_\_\_\_\_

**BUY:** \_\_\_\_\_ **SELL:** \_\_\_\_\_

**SECURITY:** \_\_\_\_\_

**METHOD OF ORDER ENTRY:** \_\_\_\_\_

**TRADER (IF APPLICABLE):** \_\_\_\_\_

**TRADER PHONE NUMBER:** \_\_\_\_\_

**DESCRIPTION OF CIRCUMSTANCES: (ATTACH ADDITIONAL SHEETS IF NECESSARY)**

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**COMPLAINT INITIATED BY:**

**BROKER:** \_\_\_\_\_

**CUSTOMER:** \_\_\_\_\_

**SIGNED:** \_\_\_\_\_