Polar Investment Counsel, Inc

Office:_____ Rep ID: _____ Rep Name: _____

Brokers and Investment Advisors; Member NASD, NFA, MSRB, SIPC

Website: www.polarinvest1.com

DAILY TRADES BLOTTER

For Equity or Option orders only the first three (3) columns must be completed. For Bond transactions all columns must be completed.

DATE	ORDER # FROM SWS	TIME RCVD	BUY/ SELL SHORT	QUANTITY	ACCOUNT NAME	SECURITY	ENTERED BY	DISCOUNT CODES	SOL/ UNSOL DE

Brokers are reminded that all orders must bear three (3) time stamps. At this time the Southwest system does not capture the time the order was taken from the customer. That time must be entered on the blotter in the third column (Time Rcvd). The order entry and execution times are captured by the Southwest system.

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