

# ***Polar Investment Counsel, Inc.***

Brokers and Investment Advisors; Member NASD, NFA, MSRB, SIPC  
Website: www.polarinvest1.com

## **COMPLIANCE MEETING**

### **Individual(s)**

Rep ID/Name \_\_\_\_\_  
Rep ID/Name \_\_\_\_\_  
Rep ID/Name \_\_\_\_\_  
Rep ID/Name \_\_\_\_\_  
Rep ID/Name \_\_\_\_\_

Method of Delivery:     In person     Telephonic     Webcast

### Matters Discussed:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### Remarks:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
PICI Principal Signature

\_\_\_\_\_  
Dated