For Month/Year:	Office #:	Rep #:	Rep Name:	
Polar Investmen	t Coun	isel In	C	

Brokers and Investment Advisors; Member NASD, NFA, MSRB, SIPC

Website: www.polarinvest1.com

CLIENT DOCUMENTATION BLOTTER

Regulation requires that all brokers attest to the fact that their client has been sent a completely executed copy of their account file. This applies to original account opening documents as well as any subsequent account documents. This blotter is to be used to record this information. This is an interactive document. You insert information into the table and save upon closing the document. At the end of each month you will need to send PICI Home Office a copy of this document. You must transmit via email to sabbott@polarinvest1.com . Click FILE-SEND TO-MAIL RECIPIENT (AS ATTACHMENT). You may use this document from month to month entering additional rows as needed. Each month you are to save a copy of this document to a non-rewriteable form of media.

Client Last Name	Client First Name(s)	Account Number	Account Type	Date Sent