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Polar Investment Counsel Inc.

Member: FINRA, NFA, MSRB, SIPC
Securities Cleared: Hilltop Securities Inc.
Member NYSE, FINRA, SIPC

Securities Cert Client Delivery Notification

Acct#: _____ Office: _____ Rep ID: _____

Filing Name: _____

DELIVERY OF SECURITIES CERTIFICATES

Firm policy prohibits the acceptance of securities certificates (stock certificates) for keeping or delivery to a clearing firm.

Receipt via Walk In: Please forward your certificates directly to the clearing firm listed below. We strongly advise you to send, at your own expense, the certificates via a certified and insured means of delivery

Receipt via Mail Delivery: To expedite the matter and for your convenience, we will forward your certificates directly to the clearing firm listed below, this time. In the future, we do ask that you send any certificates directly to the clearing firm listed below. We strongly advise you to send, at your own expense, the certificates via a certified and insured means of delivery

Once the clearing firm receives the certificates, they will book them into your account. Please be aware that safekeeping fees may apply.

Hilltop Securities, Inc
Attn: Stock Receipts Dept
1201 Elm Street Suite 3500
Dallas TX 75270

214.859.1800

We are providing an additional copy of this notice for your convenience to include in your delivery to the clearing firm. This notice contains the account information needed to book the certificate(s) into your account. Your signature(s) below authorizes HTS to accept the certificate(s) into your HTS investment account.

Note to HTS: Please book the enclosed securities into the above account

Client Signature

Date

Client Signature

Date