

Polar Investment Counsel, Inc.

Brokers and Investment Advisors; Member NASD, NFA, SIPC
Website: www.polarinvest1.com

MUTUAL FUND TICKET

CUSTOMER _____ ACCT# _____ TICKET# _____

SYMBOL _____ FUND NAME _____ FUND _____

BUY - INITIAL

REDEMPTIONS:

BUY - ADDITIONAL

PARTIAL

ISSUED

UNISSUED

EXCHANGE

FULL

CUST NAME

S/N

DOLLARS \$ _____ SHARES # _____

DIVS CASH REINVEST

EXCH TO: _____ AMT/SHS _____

CAP GAINS CASH REINVEST

_____ AMT/SHS _____

COMMISSION: \$ _____

_____ AMT/SHS _____

_____ AMT/SHS _____

DOLLAR BREAK POINT FOR THIS FUND \$ _____

CUSTOMER MONEY INVESTED INCLUDING THIS TRADE \$ _____

IS CUSTOMER ENTITLED TO A REDUCED SALES CHARGE BASED UPON FUND PROSPECTUS?

YES NO

HAS CUSTOMER SIGNED OR ANTICIPATES SIGNING A LETTER OF INTENT?

YES NO

TRADE BLOTTERIZED?

YES NO

THIS TRADE WAS:

SOLICITED UNSOLICITED

DATE: _____

TIME: _____

CONFIRM NAME/NUMBER: _____

AFFIRMED: _____
(BROKER SIGNATURE)