



Polar Investment Counsel Inc.
 Brokers and Investment Advisors Member: FINRA, NFA, MSRB, SIPC
 Securities Accounts Cleared Through: Southwest Securities Inc. Member NYSE, FINRA, SIPC
 Futures Accounts Placed With: Zephyr Investment Group Inc.

Municipal Bond Order Ticket Supplement

Client: _____ **Account:** _____

Cusip: _____ **Trade Date:** _____

Bond Description: _____

EMMA Review:

Official Statement on Emma Explanation: YES NO

Current Financials Explanation: YES NO

1 or More Events During Trade Month Explanation: YES NO

1 or More Events Filed in 1st – 3rd Months Prior to Trade Month Explanation: YES NO

1 or More Events Filed in 4th – 6th Months Prior to Trade Month Explanation: YES NO

Signature below confirms EMMA system review and above statements are true and accurate to the best of my knowledge.

_____ **Reg Rep/Branch Mgr Signature** _____ **Date**

_____ **Muni Principal Signature** _____ **Date**

HO Area Only
 Muni Ticket Reviewed
 MSRB Affirm Rcvd