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Polar Investment Counsel Inc.

Member: FINRA, NFA, MSRB, SIPC
Securities Cleared: Hilltop Securities Inc.
Member NYSE, FINRA, SIPC

Municipal Bond Order Ticket Approval

Rep ID _____ Office _____

Client: _____ Account: _____
Cusip: _____ Trade Date: _____
Bond Description: _____

EMMA Review:

Official Statement YES NO Current Financials YES NO

1 or More Events During Trade Month YES NO

1 or More Events Filed in 1st – 3rd Months Prior to Trade Month YES NO

1 or More Events Filed in 4th – 6th Months Prior to Trade Month YES NO

Explanation (if any):

Diver Report (BondPro):

Reviewed Report YES NO

Emailed Client Report YES NO

Signature below confirms EMMA system and Diver Report review and above statements are true and accurate to the best of my knowledge.

_____ Date _____
Reg Rep/Branch Mgr Signature

<p>_____ Date _____ Muni Principal Signature</p>	<p>HO Area Only</p> <p><input type="checkbox"/> Muni Ticket Reviewed</p> <p><input type="checkbox"/> MSRB Affirm Rcvd</p>
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