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Polar Investment Counsel Inc.

Member: FINRA, NFA, MSRB, SIPC
Securities Cleared: Hilltop Securities Inc.
Member NYSE, FINRA, SIPC

PICI DUE DILIGENCE DOCUMENTATION

Name of Company: _____

Be sure to include supporting documentation with source for review

Date:	Product Name:		
Primary Contact: Address:	Email:	Phone:	Fax:
Type of Investment	Private Placement	<input type="checkbox"/> Yes <input type="checkbox"/> No	Accredited Investors
	Publicly Traded	<input type="checkbox"/> Yes <input type="checkbox"/> No	Liquid Investment
	Low Priced Securities	<input type="checkbox"/> Yes <input type="checkbox"/> No	Prospectus Reviewed
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No			
Suitability Considerations:			
Due Diligence Investigation:			

The above due diligence was performed by the undersigned. All documentation and information presented for review is to be true and accurate to the best of my knowledge.

Representative Signature Dated: _____

Home Office Principal performed due diligence

HOME OFFICE USE ONLY:	
The undersigned Home Office Principal has reviewed the due diligence material provided. The following determinations have been made:	
_____ PICI HO Principal Signature	Dated _____
_____ PICI HO CCO Signature	Dated _____

Not Approved **PICI Restrictions:**
 Approved with no restrictions
 Approved with restrictions