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Polar Investment Counsel Inc.

Member: FINRA, NFA, MSRB, SIPC
Securities Cleared with: Hilltop Securities Inc.
Member NYSE, FINRA, SIPC

Filing Name: _____

Office: _____ Rep Name & Rep ID: _____

Account Information Change Form

Client(s) Name: _____

SWS# _____ SWS# _____ SWS# _____
SWS# _____ SWS# _____ SWS# _____
SWS# _____ SWS# _____ SWS# _____
SWS# _____ SWS# _____ SWS# _____

NCFA# and/or Product Name: _____

NCFA# and/or Product Name: _____

ADDRESS CHANGE

Address moving from (OLD):

Address moving to (NEW):

STREET ADDRESS _____

STREET ADDRESS _____

CITY _____ STATE _____ ZIP CODE + 4 DIGITS _____

CITY _____ STATE _____ ZIP CODE + 4 DIGITS _____

TELEPHONE: (_____) _____

TELEPHONE: (_____) _____ No Change

MARITAL STATUS CHANGE

Reason for Change: Marriage Divorce Separated Widowed

Proof of status change must be attached to this request as follows:

- Marriage: copy of marriage certificate (*if name change is required, complete section below)
- Divorce/Separated: copy of divorce/separation decree (*if name change is required, complete section below)
- Widowed: copy of death certificate for deceased spouse

Additional documents needed:

- For: IRA account: complete Change of Beneficiary form
- For: BASIC account: if spouse is to be added, complete a Joint Account Agreement

NAME CHANGE

Reason for Change: Marital Status Change Legal Change

Name Changed From:

Name Changed To:

Proof of name change must be attached to this request as follows:

- Marital Status Change: Copy of marriage certificate or divorce/separation decree
- Legal Change: Copy of court order

Signing below acknowledges that the above changes are true and accurate and we have reviewed all other information concerning our account(s) and there are no other changes or updates to our personal or financial situations.

CLIENT SIGNATURE _____

DATE _____

CLIENT SIGNATURE _____

DATE _____

FIRM USE ONLY

PROCESS CONFIRMATION

REGISTERED REP SIGNATURE _____

DATE _____

PICI HOME OFFICE PRINCIPAL SIGNATURE _____

DATE _____