



Polar Investment Counsel, Inc.

Brokers and Investment Advisors; Member NASD, NFA, SIPC, MSRB
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Website: www.polarinvest1.com

Securities Accounts Cleared Through:
Southwest Securities Inc. Member NYSE
Futures Accounts Placed With:
Zephyr Investment Group Inc.

Account Information Change Notice

You are receiving the attached Account Information Change form as we have been notified that the following information has changed:

- Address Change
- Marital Status Change (additional documentation required – see form)
- Name Change (additional documentation required – see form)

It is essential that we keep our clients' records current and accurate. We also have a duty to protect our clients' identity and as an element of that process, we require the attached document to not only be completed and signed, but notarized as well. This is to ensure the Firm that the signature that appears on this document is indeed valid. While we realize this may be an inconvenience, we feel it is important in protecting our clients' identity and account status.

In the event that a client's mail is returned to our clearing firm as undeliverable, we are notified. We have 7 business days from that notification to update the client's account with a "good address". Should we fail to do that, the account will be closed by our clearing firm for a "bad address" and all assets in the account will be transferred to the Texas Unclaimed Property division until such time as a "good address" is provided and verified by our clearing firm. For this reason, it is extremely important that you return the enclosed forms immediately.

In addition to the Account Information Change form, regulatory entities require that specific events, such as but not limited to, address changes, name changes, etc. require that a client's account be re-documented. Please complete and sign the attached forms. If you have any questions or concerns, please contact your broker.

Please be sure to verify the information on the attached forms, have all parties to the account sign and lastly, have the Account Information Change form notarized. Please return all attached forms to your broker, in the return envelope provided, for processing.

Thank you,

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